



PTO/SB/21 (09-04) (AW 10/2004)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 6

Application Number	10/728,560
Filing Date	December 5, 2003
First Named Inventor	Aleandro DiGianfilippo
Art Unit	2125
Examiner Name	Zoila E. Cabrera
Attorney Docket No.	BBM-103US

**ENCLOSURES (Check all that apply)**

- ☐ Fee Transmittal Form  
☒ PTO-2038 Attached
- ☐ Amendment/Reply  
☐ After Final  
☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/  
Incomplete Application  
☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a  
Provisional Application
- ☐ Power of Attorney, Revocation,  
Change of Correspondence  
Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_  
☐ Landscape Table on CD

- ☐ After Allowance Communication  
to TC
- ☐ Appeal Communication to Board  
of Appeals and Interferences
- ☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply  
Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please  
identify below):
- Return Postcard

**Remarks:****SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm Name RatnerPrestia

Signature

Printed Name Jacques L. Etkowicz

Date March 1, 2007

Registration No. 41,738

**CERTIFICATE OF TRANSMISSION / MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or Printed Name Kathleen P. Carney

Date March 1, 2007

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 10/728,560  
Applicant: Aleandro DiGianfilippo  
Filed: December 5, 2003  
Title: PHARMACEUTICAL COMPOUNDING SYSTEMS AND METHODS AND  
INFORMATION MANAGEMENT SYSTEM FOR SAME  
TC/A.U.: 2125  
Examiner: Zoila E. Cabrera  
Docket No.: BBM-103US

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a form submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

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More than three months have elapsed since the filing of the above-referenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that none has yet been mailed. Accordingly, the required fee set forth in 37 C.F.R. § 1.17(p) is provided herewith.

Respectfully submitted,

RatnerPrestia



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Jacques L. Etkowicz, Reg. No. 41,738  
Attorney for Applicants

JLE/kpc

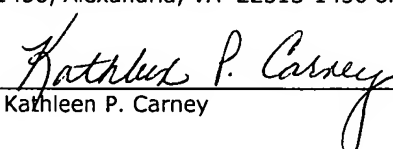
Enclosures: PTO/SB/08a  
Transmittal Form  
PTO-2038

Dated: March 1, 2007

P.O. Box 980  
Valley Forge, PA 19482  
(610) 407-0700

The Director is hereby authorized to charge or credit Deposit Account No. **18-0350** for any additional fees, or any underpayment or credit for overpayment in connection herewith.

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Kathleen P. Carney

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number 10/728,560

Filing Date December 5, 2003

First Named Inventor Aleandro DiGianfilippo

Art Unit 2125

Examiner Name Zoila E. Cabrera

SHEET 1 of 2

Attorney Docket No. BBM-103US

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-4,604,847	08/12/1986	Moulding, Jr. et al.	
		US-5,803,906	09/08/1998	Pratt et al.	
		US-5,884,806	03/23/1999	Boyer et al.	
		US-6,070,761	06/06/2000	Bloom et al.	
		US-6,112,986	09/05/2000	Berger et al.	
		US-6,208,911	03/27/2001	Yamaoka et al.	
		US-6,481,180	11/19/2002	Takahashi et al.	
		US-2002/0188468	12/12/2002	Hogan	
		US-2002/0077857	06/20/2002	Seelinger	
		US-6,519,569	02/11/2003	White et al.	
		US-6,685,678	02/03/2004	Evans et al.	
		US-6,711,460	03/23/2004	Reese	
		US-2005/0209737	09/22/2005	Kircher et al.	
		US-6,985,870 B2	01/10/2006	Martucci et al.	
		US-5,797,515	08/25/1998	Liff et al.	
		US-6,597,969 B2	07/22/2003	Greenwald et al.	
		US-6,098,892 A	08/08/2000	Peoples, Jr.	
		US-6,317,648 B1	11/13/2001	Sleep et al.	
		US-6,519,569 B1	02/11/2003	White et al.	
		US-5,907,493 A	05/25/1999	Boyer et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
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Examiner Signature				Date Considered		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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Art Unit	2125
Examiner Name	Zoila E. Cabrera
Attorney Docket No.	BBM-103US

**SHEET 2 of 2**

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]Examiner  
Signature

Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

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